

Dkt. 43016-D/JPW/FHB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Philip O. Livingston and Friedhelm Helling

Serial No. : 08/477,147

Filed : June 7, 1995

For : GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS QS-21

1185 Avenue of the Americas New York, New York 10036

November 8, 2001

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

FOURTH COMMUNICATION REQUESTING CORRECTED FILING RECEIPT

This Communication is filed to request a corrected Filing Receipt in connection with the above-identified application. Upon receipt of the official Filing Receipt for the subject application, applicants' undersigned attorney noticed an error. Specifically, the following incorrect title now appears:

-- GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS OS-21--

a corrected Filing Receipt should read as follows:

--GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS QS-21--

Applicants

Philip O. Livingston and Friedhelm Helling

Serial No.

08/477,147

Filed

June 7, 1995

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A copy of the original filing receipt with the changes noted thereon is attached hereto as Exhibit A. The correct title may be found in the Declaration and Power of Attorney, which was filed with the U.S. Patent and Trademark Office on September 1, A copy of the Declaration and Power of Attorney is attached hereto as Exhibit B. According, applicants request that a corrected filing receipt be issued.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination Customer Service Center Washington, D.C. 2023

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Reg. No. 28,678

Spender H. Schneider

Reg. No. 45,923

John A. White

Registration No. 28,678 Attorneys for Applicant(s) Cooper & Dunham, LLP 1185 Avenue of the Americas New York, New York 10036

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United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON DIG 2023 APPLICATION NUMBER GRP ART UNIT FIL FEE REC'D ATTY DOCKET NO DRAWINGS FILING DATE IND CLAIMS 43016-0.00 08/477,147 1645 26 22 D/JPW/ **CONFIRMATION NO. 5687** RECEIVED COOPER & PHEHAM **CORRECTED FILING RECEIPT** JOHN P WHITE **COOPER & DUNHAM** *OC00000006329025* **2 5** 2001 1185 AVENUE OF THE AMERICAS NEW YORK, NY 10036 DOCKET CLERK Date Mailed: 07/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an err r is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

PHILIP O. LIVINGSTON, NEW YORK, NY; FRIEDHELM HELLING, NEW YORK, NY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/US94/00757 01/24/1994 WHICH IS A CIP OF 08/009,268 01/22/1993 ABN

F reign Applications

If Required, Foreign Filing License Granted 10/05/1995

Projected Publication Date: N/A

N n-Publication Request: No

Early Publication Request: No

Title

GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS OS. 21

Q5-21

Preliminary Class

Data entry by: YOUNG, MONICA

Team : OIPE

Date: 07/23/2001

Beclaration and Power of Attorney

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

GANGLIOSLIDE-KLH CONJUGATE VACCINE PLUS QS-21

the specification (check one)	of which		
	is attached here	eto.	
	X was filed on _	June 7, 1995	a
	Application Serial No	. 08/477,147	· · · · · · · · · · · · · · · · · · ·
	and was amended on	(if applicable)	
identified specif	ication, including the cu	nd understand the conte aims, as amended by any a	
to the examinat Regulations, Sec	ion of this application in tion 1.56(a).	nation of which I am awas accordance with Title 37	
of any foreign a	pplication(s) for patent of	der Täle 35, United State inventor's certificate lis on for patent or inventor' ion on which priority is c	s certificate havi
Prior Foreign A Number		Filing Date	Priority Claims <u>Yes</u> <u>No</u>
N/A			

I hereby claim the benefit under Title 35. United States Code. Section 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35. United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37. Code of Federal Regulations. Sections 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	<u>Siaius</u>
PCT/US94/00757	January 21, 1994	pending
08/009,268	January 22, 1993	atandoned
And I hereby appoint		
John P. White (Reg. No. 28, Norman H. Zivin (Reg. No. 2 Christopher C. Dunham (Reg. Robert D. Katz (Reg. No. 30 Richard S. Milner (Reg. No. Albert Wai-Kit Chan (Reg. No. Robert T. Maldonado (Reg. No. 10 No	No. 22,031); Thomas G. (1,141); Peter J. Phillips 33,970); Matthew J. Gold	(Reg. No. 30,616); (Reg. No. 29,691); den (Reg. No. 35,161);
to prosecute this application to no to transact all business in the Pany-International-Applications of Cooperation Treaty.	attorneys, each with Juli power make alterations and amendme Patent and Trademark Office which are based—thereon—under	ents therein, to receive the patent, connected therewith and to file er-the-provisions of-the-Patent
Please address all communication	ns. and direct all telephone ca	lls, regarding this application to
		28 678
John P. White		Reg. No28,678
John P. White Cooper & Dunham 1185 Avenue of the A New York, New York 1	Americas 10036	Reg. No
Cooper & Dunham 1185 Avenue of the A New York, New York I I hereby declare that all statems statements made on information statements were made with the	ents made herein of my own n and belief are believed to knowledge that willful false sonment, or both, under Sectio illful false statements may	knowledge are true and that all be true: and further that these statements and the like so made n 1001 of Title 18 of the United jeopardize the validity of the
Cooper & Dunham 1185 Avenue of the A New York, New York 1 I hereby declare that all statements made on information statements were made with the are punishable by fine or impris States Code and that such we application or any patent issued	ents made herein of my own n and belief are believed to knowledge that willful false sonment, or both, under Sectio illful false statements may	knowledge are true and that all be true: and further that these statements and the like so made on 1001 of Title 18 of the United
Cooper & Dunham 1185 Avenue of the A New York, New York 1 I hereby declare that all statements made on information statements were made with the are punishable by fine or impris States Code and that such we application or any patent issued Full name of sole or Philip first joint inventor Inventor's signature	ents made herein of my own n and belief are believed to knowledge that willful false sonment, or both, under Sectio illful false statements may thereon.	knowledge are true and that all be true: and further that these statements and the like so made in 1001 of Title 18 of the United jeopardize the validity of the
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Cooper & Dunham 1185 Avenue of the A New York, New York 1 I hereby declare that all statements made on information statements were made with the are punishable by fine or impris States Code and that such we application or any patent issued Full name of sole or Philip first joint inventor Inventor's signature Citizenship United States Residence 156 East 79th S	ents made herein of my own n and belief are believed to knowledge that willful false sonment, or both, under Section illful false statements may thereon. Date of Street, New York, New York	knowledge are true and that all be true: and further that these statements and the like so made in 1001 of Title 18 of the United jeopardize the validity of the
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Inventor's si	enature .	YMC(liela	Helling	
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